
BACHELOR PROGRAM

MAJOR: BANKING

CONCENTRATION:

SYLLABUS

1. **Course Title:** The law of Banking and Securities
2. **Course Code:**
3. **Number of Credits:** 02
4. **Level (1st, 2nd, 3rd, 4th academic year):** 3rd academic year
5. **Teaching Time Allocation:** (*credits, hours for activities*)
 - + Lecture : 1
 - + Practice, laboratory : 1
 - + Other
6. **Pre-Requisites:** (*courses should be taken before this course*) Business Law, Money banking, Securities market.
7. **Course Aims & Learning Objectives:**
 - Course Aims:
 - Keep up with the theoretical knowledge of the Banking and Securities field as well as law on State management of currency, banking and securities.
 - Define the legal status of the State Bank of Vietnam, the State Securities Commission, the legal status of Credit Institutions, the importance of Banking and Securities the law of banking and securities in the economy.
 - Identify the general knowledge of the banking and securities system, the regulations on State management in the banking and securities field, the provisions of law on the structure, organization and operation of the credit institution.
 - Applying knowledge to solve tasks such as legal charge in the field of credit institutions, legal consultants for activities related to the banking and securities field, do the job related to banking and securities activities in accordance with the law.
 - Course Objectives:
 - The law of banking:

- The concept of banking law, the regulation and methods of adjusting the law of banking.
- Legal provisions on the structure, organization and operation of the State Bank of Vietnam, determine the legal status of the State Bank of Vietnam.
- The role of state management of the currency of the State Bank of Vietnam.
- Banking activities, the factors influencing state management of banking activities and conditions for the subjects to perform banking activities.
- Provisions of the law on foreign exchange and foreign exchange management.
- The system of credit institutions, the provisions of law on the establishment, dissolution and bankruptcy of credit institutions.
- Legal regime of credit activities of credit institutions, the legal provisions on resolving dispute on bank credit.
- Provisions of law for the payment of non-cash, rights and obligations of the parties in relation to non-cash payments through banks.
- The law of Securities:
 - The legal regulations on stock and stock market.
 - The forms, means and requirements for information disclosure on stock market.
 - The legal regulations on the administrative sanctions and dispute resolution on the stock market.
 - State Management the stock market in Vietnam.

8. Course Description:

The course will provide students with understanding of the provisions of law related to the organizational structure and operation of the banking and securities companies in Vietnam, help students to access to the laws governing all activities taken place at the commercial banks, securities companies and the stock market.

Course content will present an overview and fully law on the organization, structure and operating activities, as well as all activities of the commercial banks, in particular, securities companies and securities market in Vietnam in general.

9. Student Learning Activities:

- Participation :
- Exercises :
- Tools and facilities
- Other

10. Recommended Resources:

- Textbooks: Curriculum of Banking Law and Curriculum of Securities Law of University of Law Ho Chi Minh City.

- Reference books: Banking and Securities Law - National Economics University in Hanoi

11. Evaluation:

- Participation in class: % (weight)
- Group discussion: %
- Assignment: %
- Presentation: %
- Report: %
- Mid – semester exam: 30%
- Final exam: 70%
- Other: %

12. Course Grading Scale: (*High distinction, Distinction, Credit, Pass*)

13. Learning Activities:

Date	Lecture (chapter, part, teaching methodology)	Text Book (chapter, part)	Student Preparation (excercise, presentation, case studies...)	Learning objectives
<i>The Law of banking</i>				
Day 1, 5 periods	Chapter 1 : The basic theoretical issues in Banking and the law of banking	Chapter 1	Researching the lesson at home	1
Day 2, 5 periods	Chapter 4 : The legal regulations on the management of monetary and foreign exchange	Chapter 2, 3 and 4	Prepared chapter : 2 and 3	3,4,5,6
Day 3, 5 periods	Chapter 5 : The law on banking and credit activities	Chapter 5	Resolving situations, preparing the lesson at home	7
Day 4, 5 periods	Chapter 6 : Regulations on activities of non-cash payment provided by organizations providing payment services	Chapter 6	presentations of process non-cash payments	8
<i>The law of Securities</i>				

Day 5, 5 periods	Chapter 1,2,3: The legal regulations on securities, securities markets and public companies	Chapter 1,2 and 3	Researching the lesson at home, presentation Chapter 1, 3	1
Day 6, 5 periods	Chapter 4,5,6: The legal regulations of securities companies, listing and information disclosure on stock market	Chapter 4,5 and 6		2
Day 7, 5 periods	Chapter 7: The legal regulations on monitoring, inspection and sanction on the stock market	Chapter 7	Handling situations	3
Total : 30 periods				

Ho Chi Minh City, date April 3rd 2013

COURSE COORDINATOR

LECTURER

NGUYEN TU NHU