
BACHELOR PROGRAM

MAJOR: FINANCE – BANKING

CONCENTRATION: BANKING

SYLLABUS

1. Course Title: Commercial Banking Operations For Security Market

2. Course Code:

3. Number of Credits: 02 (30 periods)

4. Level (1st, 2nd, 3rd, 4th academic year): 3rd academic year

5. Teaching Time Allocation: (*credits, hours for activities*)

+ Lecture : 30 periods

+ Practice, laboratory : At least 60 to 90 periods

+ Other

6. Pre-Requisites: (*necessary courses*):

Students must study some subjects before studying this subject, such as: Foundation of Finance and Monetary, Mathematics in Finance, Corporate Finance, Accounting, and Financial Markets.

7. Course Aims & Learning Objectives:

- Course Aims:

The subject is designed to achieve these objectives, as follow:

1. To enhance presentation and analytical skills
2. To enhance team working and self study skills
3. To help students to understand credit services for security markets that a commercial bank serves their customers – the financial investors
4. To give students with the knowledge of financial investments.
5. ,To give the students with the knowledge of supervising bank services and clearing services
6. To help students to understand underwriting services, restructuring services and advisory service.
7. Case study

- Course Objectives:

Commercial Banking Operations for Security Market is an elective subject of the Branch knowledge block of the programme specialized on securities. Students who want to select this subject must complete the subject Commercial Banking Operations. One major objective of this subject is to give students with the knowledge of services that a commercial Bank provides for the

stock markets, such as: credit services, investment services, supervising bank service and clearing service.

Another objective of this subject is to enhance students' skills such as: presentation skill, analytical skill, computer skill in order to prepare students for their careers at commercial banks, securities firms, companies, and other institutions.

8. Course Description:

The subject consists of 4 chapters, as follow:

Chapter 1: Credit services for financial investors

Chapter 2: Commercial bank's investment operations

Chapter 3: Supervising banks services and Clearing services

Chapter 4: Other bank operations

9. Student Learning Activities:

- Participation
- Excecises
- Tools and facilities
- Other

10. Recommended Resources:

- Text book: *(from 1 to 3 text book)*: Commercial Banking Operations – Economics Publishing house 2012.
- Reference books:
 - + Commercial Banking Operations - Nguyen Dang Don, Statistic Publishing house 2009.
 - + Commercial Banking Operations - Nguyen Minh Kieu, Statistic Publishing house 2009.
 - + Commercial Banking Operations - Nguyen Thi Mui, Statistic Publishing house 2005
 - + Monetary – Banking and Financial - Frederic S.Mishkin, Technical and Scientific Publishing house 1993.
 - + Commercial bank Management- Peter S. Rose, 2.000.
 - + Commercial banking _Benton E. Gup, Jame W.Kolari , Jone Wiley & Sons (ASIA) Pte Ltd Sigapore
 - + Credit Institution Law of Vietnam 2010 and others related legal documents.
 - + Securities Law of Vietnam
 - + Website of State Bank of Vietnam, websites of commercial banks, website of Ho Chi Minh city Stock Exchange, website of Hanoi Stock Exchange,...

11. Evaluation:

- | | |
|---------------------------|----------------|
| - Participation in class: | 100 % (weight) |
| - Group discussion: | 10 % |
| - Assignment: | 10 % |
| - Presentation: | % |
| - Report: | % |

- Mid – semester exam: 10 %
- Final exam: 70 %
- Other: %

12. Course Grading Scale: (*High distinction, Distinction, Credit, Pass*): *Distinction*

13. Learning Activities:

Date	Lecture (chapter, part, teaching methodology)	Text Book (chapter, part)	Student Preparation (excecise, presentation, case studies...)	Learning objectives
Date 1 (Number of hours : 4)	Chapter 1: Credit services for financial investors I. Lending against pledging securities 1. Definition 2. Characters 3. The Process	1/Lecture notes 2/ Related legal document 3/ Reference book	1/ Students have to read lecture notes and reference documents before going to class;	1, 2, 3 4,7
Date 2 (Number of hours : 4)	II. Lending to invest in secu markets 1. Definition 2. Characters 3. The Process III. Repurchase arrangement operations 1. Definition 2. Characters The Process	1/Lecture notes 2/ Related legal document 3/ Reference book	2/ Students have to do the assignment in class; 3/Group discussion 4/ Homework.	1, 2, 3 4,7
Date 3 (Number of hours : 4)	Chapter 2: Commercial bank investment operations I. Purpose of financial investments II. Definition and character of financial investments		1/ Students have to read lecture notes and reference documents before going to class; 2/ Students have to do the assignment in class;	1,2,5,7
Date 4 (Number of hours :)	III. Type of financial investme 1. Short-term financial investments - Commercial paper - Treasury bill - Open market operations 2. Long-term investment - Corporate Bonds	1/Lecture notes 2/ Related legal document 3/ Reference book	3/Group discussion 4/Presentations 5/ Homework	1,2,5,7

	- Government Bonds Shares			
Date :5 (Number of hours : 4)	Chapter 3: Supervising bank services and Clearing service I. Supervising banks services 1. Definition 2. Characters 3. The Process	1/Lecture notes 2/ Related legal document 3/ Reference book	1/ Students have to read lecture notes and reference documents before going to class; 2/ Students have to do the assignment in class;	
Date :6 (Number of hours : 4)	II. Clearing operations 1. Definition 2. Characters 4. The Process	1/Lecture notes 2/ Related legal document 3/ Reference book	3/Case study 4/Presentations 5/ Homework	
Date :7 (Number of hours : 4)	Chapter 4: Other bank operations Underwriting services	1/Lecture notes 2/ Related legal document 3/ Reference book	1/ Students have to read lecture notes and reference documents before going to class; 2/ Students have to do the assignment in class;	1,2,6,7
Date :8 (Number of hours : 2)	I. Advisory services	1/Lecture notes 2/ Related legal document 3/ Reference book	3/Case study 4/Presentations 5/ Homework.	1,2,6,7
Total: (30 periods)				

Ho Chi Minh City, 20th April, 2012

COURSE COORDINATOR

LECTURER

Associate Professor Tran Huy Hoang

Tram Thi Xuan Huong – Duong Tan Khoa