
BACHELOR PROGRAM

MAJOR: FINNANCE AND BANKING

SPECIALIZE: BANKING

SYLLABUS

1. **Course Title:** *FINANCIAL MARKET*
2. **Module code:**
3. **Credits:** 2 credits
4. **Level:** *third academic year*
5. **Teaching Time Allocation:** (*credit hours for activities*)
 - Class participation: 70%
 - Self-study: 30%

6. Pre-Requisites:

Student studied a number of courses including Macroeconomics, Finance and Monetary Theory prior to this course.

7. Course Aims & Learning Objectives:

- Course Aims: This course aims to give an overview of financial instruments, institutions and markets. It also gives students a deep understanding of stock markets.
- Course Objectives:
 - O1: To briefly introduce the concept, role and classification of financial markets
 - O2: To understand the operation of financial institutions in the market
 - O3: To introduce the basics of stock market
 - O4: To understand the concept, characteristics and classification of goods in the stock market
 - O5: To learn about the issuance and trading of securities on the market.

8. Course Description:

The content of this course consists of five chapters. Chapter 1 gives an overview of financial markets. Chapter 2 makes mention of financial institutions. Chapter 3 gives an overview of stock markets. Chapter 4 provides students with an introduction to the instruments in stock markets. Finally, Chapter 5 presents the mechanics of security offering and trading.

9. Student Learning Activities:

In order to have a good achievement in this course, it is the student's duty to attend class fully. In addition, students must do their assignments and participate in classroom discussions. Students also have a presentation of relating topics

10. Recommended Resources:

- Textbooks:

(1) Dr. Bui Kim Yen - Dr. Than Thi Thu Thuy (2009), Textbook Markets, Statistics Publishing House.

- Reference books:

(2) Frank J. Fabozzi (2001), Capital Market, Prentice Hall Publishers.

(3) Bodie, Z, Kane A and Marcus, AJ (2011), Investment, Version 9, Mc Graw Hill Publishing.

11. Evaluation: *(at least 4 items included in a total of the items is 100%).*

- Discussion and presentation: 20%
- Mid exam: 10%
- Final exam: 70%

12. Course Grading Scale:

13. Learning Activities:

Teaching period	Lecture	Text Book	Student Preparation	Achieved objectives
6 hours	<p>Chap 1. Overview of financial markets</p> <p>1. Definition of financial markets</p> <p>2. Function of financial markets</p>	<p>- R1: Chap1</p> <p>- R3: Chap1</p>	Read the books and papers relating to overview of financial markets	O1

	3. Classification of financial markets			
4 hours	<p>Chap2.Financial intermediaries</p> <p>1. Definition of financial intermediaries</p> <p>2. Classification of financial intermediaries</p> <ul style="list-style-type: none"> - Banking institutions - Non-bank financial institutions 	<ul style="list-style-type: none"> - R1: Chap6 - R2: Chap1 	<p>Read the books before the lecture</p> <p>Presentation</p> <p>(Topic: Vietnamese financial intermediaries)</p>	O2
4 hours	<p>Chap 3. Overview of security markets</p> <p>1. Definition of security markets</p> <p>2. Function of security markets</p> <p>3. Structure of security markets</p> <p>4. Operation and regulation of security markets</p>	<ul style="list-style-type: none"> -R1: Chap3 -R2: Chap1 	<p>Read the books before the lecture</p> <p>Presentation</p> <p>(Topic: Security markets of Vietnam and another countries)</p>	O3
8 hours	<p>Chap 4. Instruments in the market</p> <p>1. Definition of securities</p> <p>2. Classification of securities</p> <ul style="list-style-type: none"> - Equity securities - Debt securities - Derivative securities 	<ul style="list-style-type: none"> - R1: Chap4 - R2 	<p>Read the books before the lecture.</p> <p>Find out information relating to the securities traded in Vietnamese security market and the markets of another countries.</p> <p>Presentation</p> <p>(Topic: securities)</p>	O4
8 hours	<p>Chương 5. Mechanics of offering and trading securities</p> <p>1. Security offering</p> <ul style="list-style-type: none"> - Approaches of security offering 	<ul style="list-style-type: none"> -R1: Chap5 -R2: Chap1 	<p>Read the books before the lecture</p> <p>Study the operation of primary market and secondary market of Vietnam as well as the regulations and</p>	O5

	<ul style="list-style-type: none"> - Conditions of security offering 2. Security trading <ul style="list-style-type: none"> - Security trading in unlisted markets - Security trading in listed markets 		the trading processes of the stock exchanges.	
Total: 30 hours				

Ho Chi Minh City, 3 April , 2013

COURSE COORDINATOR

LECTURER

Dr. THAN THI THU THUY