

BACHELOR PROGRAM

MAJOR: ECONOMICS, FINANCE AND BANKING

**CONCENTRATION: FOREIGN TRADE, BUSINESS ADMINISTRATION AND
ECONOMICS.**

COURSE SYLLABUS

1. Course Title: *SECURITIES MARKETS*

2. Course Code:

3. Number of credit: 02 credits

4. Level : Third academic year

5. Teaching Time Allocation:

+ Attendance 70%

+ Self – study 30%

6. Prerequisites: The students should already have principal knowledge of monetary and finance theory, macroeconomics and microeconomics or equivalent

7. Course Aims & Learning Objectives

Course Aims: The course is designed to equip students both conceptual and practical knowledge on operation of both financial and securities markets. The course is primarily on structures of the markets, commodities and fundamental techniques used in analysis and investment in the market.

Course Objectives: The specific objectives are as follows:

- O1 . To understand a background of securities market
- O2: To understand a structure of securities market .
- O3: To classify commodities in the markets and understand methods used to evaluate the commodities.
- O4: To understand the operation of stock exchange
- O5. To apply fundamental techniques on securities analysis and investments.

8. Course description: The course provides background knowledge on how financial market in general and securities market in particular works, how they are structured and how to

determine prices in the market. A further insight on techniques used in securities analysis and investment are introduced.

9. Student Learning Activities:

- Participation in class
- Participation in group discussion and presentation.
- Completion of questions and exercises in class and at home.
- Reading required textbook and supplemental readings.

10. Recommended Resources:

- Required textbook:
 - (1) Yen, BK and Thuy, TTT (2009), Securities market, translated, Statistical Publishing House, Vietnam.
 - (2) Frank J. Fabozzi (2001), Capital Market, Prentice Hall Publishing House.
- Reference books
 - (3).Yen, BK and Thuy, TTT (2009), Securities analysis and investment, translated, Statistical Publishing House, Vietnam.
 - (4). Mishkin.FS và Eakins. SG (2005), Financial markets and institutions, 5th edition, Addison Wesley Publishing House.

11. Evaluation:

- Discussions and presentation: 20%
- Mix-term test: 10%
- Final test: 70%

12. Course Grading Scale: Based on the credit based program

13. Course contents:

Date	Lecture	Textbooks / Chapters	Student Preparation	Learning objectives
Day 1 and 2 (4 hrs)	CHAPTER 1: An introduction of the securities market	1 st and 2 nd Textbooks	Reading textbooks /required chapters	O1, O2
Day 3, 4, 5 (12 hrs)	CHAPTER 2: Commodity in the market	1 st and 3 rd Textbooks	Reading textbooks /required chapters	O3
Day 6 and 7 (10 hrs)	CHAPTER 3: Stock exchange	1 st , 2 nd and 3 rd Textbooks	Reading textbooks /required chapters	O4
Day 8 (4 hrs)	CHAPTER 4: Securities analysis and investment	1 st and 3 rd Textbooks	Reading textbooks /required chapters	O5
Total: 30 hrs				

Ho Chi Minh City, 3 April 2013

COURSE COORDINATOR

LECTURER

TRAN PHUONG THAO