

BACHELOR PROGRAM

MAJOR: ECONOMICS, FINANCE AND BANKING

CONCENTRATION: BANKING

SYLLABUS

1. Course Title: *SECURITIES ANALYSIS AND INVESTMENT*

2. Course Code:

3. Number of credit: 02 credits

4. Level: Third academic year

5. Teaching Time Allocation:

+ Attendance 70%

+ Self – study 30%

6. Pre-Requisites: The students should already have principal knowledge of monetary and banking, financial market, stock market or equivalent

7. Course Aims & Learning Objectives

- Course Aims: Students acquire competent knowledge of analytical tools for securities analysis and investments in capital markets. It will be pay attention to equip for students analytical techniques on bond and equity products to measure risks and returns as well as evaluate on intrinsic values of these assets.

- Course Objectives: the specific objectives are as follows:

- O1. To understand methods used to measure cash flows, risks and returns and backgrounds on theoretical knowledge on investment.
- O2: To understand approaches and analytical models used in macro and industry analysis.
- O3: To calculate financial ratios in fundamental analysis .
- O4: To evaluate stock and bond using a variety of methods and models.
- O5: To understand meanings of charts, momentum and occilators in technical analysis.
- O6: To determine yield, duration and convexity of bond

8. Student Learning Activities: The course provides students theoretical and practical knowledge on securities analysis and investment. Topics include macro, industry, and company analysis. Knowledge on asset pricing theories and evaluation models on bond and equity assets are mentioned in the course.

9. Student Learning Activities:

- Participation in class
- Participation in group discussion and presentation.
- Completion of questions and exercises in class and at home.
- Reading required textbook and supplemental readings.

10. Recommended Resources:

- Textbook:

(1) Yen, BK and Thuy, TTT (2009), Securities analysis and investment, translated, Statistical Publishing House, Vietnam.

- Reference books:

(2) Hackel, KS (2011), Security valuation and risk analysis- assessing value in investment decision making, Mc Graw Hill.

(3) Bodie, Z, Kane, A and Marcus, AJ (2011), Investment, 9 Edition, Mc Graw Hill.

11. Evaluation:

- Discussions and presentation: 20%
- Mix-term test: 10%
- Final test: 70%

12. Course Grading Scale: Based on the credit based program

13. Learning Activities:

Date	Lecture	Textbooks / Chapters	Student Preparation	Learning objectives
Day 1 and 2 (6 hrs)	CHAPTER 1: Cashflow, risk, return and theoretical background on securities investment.	1 st and 2 nd Textbooks	Reading textbooks /required chapters	O1
Day 3 (4 hrs)	CHAPTER 2: Macro and industry analysis	1 st and 3 rd Textbooks	Reading textbooks /required chapters	O2
Day 4, 5 and 6 (12 hrs)	CHAPTER 3: Analysis and evaluation of equity assets	1 st , 2 nd and 3 rd Textbooks	Reading textbooks /required chapters	O3, O4, O5

Day 7 and 8 (8 hrs)	CHAPTER 4: Analysis and evaluation of bonds	1 st and 3 rd Textbooks	Reading textbooks /required chapters	O4, O6
Total: 30 hrs				

COURSE COORDINATOR

Ho Chi Minh City, 4 April 2013

LECTURER

Tran Phuong Thao